

Statement of Certified High Net Worth for Individuals

I declare that I am a certified high net worth individual for the purposes of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2005.

I understand that this means: -

- a) I can receive financial promotions that may not have been approved by a person authorised by the Financial Conduct Authority
- b) The content of such financial promotions may not conform to rules issued by the Financial Conduct Authority
- c) By signing this statement I may lose significant rights
- d) I may have no right to complain to either of the following:
 - (i) The Financial Conduct Authority or
 - (ii) The Financial Ombudsman Service
- e) I may have no right to seek compensation from the Financial Services Compensation Scheme.

I am a certified high net worth individual because at least one of the following applies: -

- a) I had, during the financial year immediately preceding the date below, an annual income to the value of £100,000 or more; or,
- b) I held, throughout the financial year immediately preceding the date below, net assets to the value of £250,000 or more.

Net assets for these purposes do not include:

- (i) The property which is my primary residence or any loan secured on that residence; or
- (ii) Any rights of mine under a qualifying contract of insurance within the meaning of the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001, or
- (iii) Any benefits (in the form of pensions or otherwise) which are payable on the termination of my service or on my death or retirement and to which I am (or my dependants are), or may be, entitled.

I accept that I can lose my property and other assets from making investment decisions based on financial promotions.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

Name: Address:

Please print in block capitals

Signature.....

Date.....